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In the United States Patent and Trademark Office

In re Application of:

Group Art Unit: 2761

Assignee: RespondTV, Inc.

Examiner: Unknown

Inventors: Nizar Allibhoy et al.

Certificate of Mailing Under 37 C.F.R. § 1.8

Application No: 09/636,393

Filing Date: August 9, 2000

Pursuant to 37 C.F.R. § 1.8, I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Box Missing Parts, Application Processing Division, Special Processing and Correspondence Branch, Washington, D.C. 20231.

For: A METHOD AND
SYSTEM FOR
CONTROLLING AND
AUDITING
CONTENT/SERVICE
SYSTEMS

Date: December 4, 2000

Type or Print Name of Person Mailing: Saundra D. Hunter

Saundra D. Hunter
Signature of Person Mailing

**Submission of Missing Parts of Application
Under 37 C.F.R. 1.53**

Assistant Commissioner for Patents
BOX MISSING PARTS
Application Processing Division
Special Processing and
Correspondence Branch
Washington, D.C. 20231

Dear Sir:

In response to the Notice of Missing Parts of Application mailed on September 29, 2000, enclosed are the following items for filing in the above-referenced U.S. Patent Application:

 X Declaration For Patent Application, executed by the applicant(s) on November 9, 2000, November 15, 2000, November 17, 2000, November 20, 2000.

Payment of the surcharge for late filing ☒ \$65.00 *small entity* ☐ \$130.00 *large entity*

 x Verified Statement Claiming Small Entity Status, executed by the applicant on November 21, 2000.

 Request for Refund under 37 CFR 1.28(a) for the overpayment of the filing fee and surcharge.

- | | |
|---------------|---|
| <u> X </u> | Payment of the small entity basic filing fee in the amount of \$345, and payment of additional/multiple dependent claims fees in the amount of \$309. |
| <u> X </u> | Assignment executed by the applicants on November 17 and November 20, 2000, and Recordation Form PTO 1594 [under separate cover with fee]. |
| <u> X </u> | Petition for Extention of Time for one month Fee \$55 |
| <u> X </u> | Copy of Notice of Missing Parts of Application dated September 29, 2000. |
| <u> X </u> | Return Receipt Postcard |
| <u> </u> | <u> </u> Check(s) in the amount of \$[] to cover the surcharge set forth in 37 CFR 1.16(e), [Extension of Time Fee] and the filing fee in 37 CFR 1.16(a), <i>et seq.</i> |
| <u> X </u> | Please charge Deposit Account No. 50-1193, Docket No. 21143-7002, in the amount of \$774 to cover the associated fees with this communication. A duplicate copy of this sheet is enclosed. |
| <u> X </u> | <u>Conditional Petition for Extension of Time:</u> An extension of time is requested to provide for timely filing <u>if</u> an extension of time is still required after all papers filed with this communication have been considered. |
| <u> X </u> | The Commissioner is hereby authorized to charge payment of any additional fees due or credit any overpayment to Deposit Account No. 50-1193. A duplicate copy of this sheet is enclosed. |

Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed upon the files for examination.

Date: December 4, 2000

Respectfully submitted,

McCutchen, Doyle, Brown & Enersen, LLP

By:

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/636,393 | 08/09/2000 | Nizar Allibhoy | 22925-701-1US |

David G Beck Esq
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Three Embarcadero Center
San Francisco, CA 94111-2286



FORMALITIES LETTER



OC000000005440339

Date Mailed: 09/29/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$618.
 - \$540 for 30 total claims over 20.
 - \$78 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1438.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/12/2000 KZWDIE 0000018 501193 09636393
01 FC:201 355.00 CH
02 FC:205 65.00 CH
03 FC:202 40.00 CH
04 FC:203 270.00 CH

9/29/00